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| Substitute Form PTO-1449<br>(Modified)<br><br><b>Information Disclosure Statement<br/>by Applicant</b><br>(Use several sheets if necessary)<br><br>(37 CFR §1.98(b)) | U.S. Department of Commerce<br>Patent and Trademark Office | Attorney's Docket No.<br>12406-126001 | Application No.<br>09/868,364 |
|  | Applicant<br>Franz Schellhorn et al.                       |                                       |                               |
|  | Filing Date<br>October 12, 2001                            | Group Art Unit<br>2871                |                               |
|  |  |                                       |                               |

| U.S. Patent Documents |           |                 |                  |          |       |          |                            |
|-----------------------|-----------|-----------------|------------------|----------|-------|----------|----------------------------|
| Examiner Initial      | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate |
|                       | AA        |                 |                  |          |       |          |                            |
|                       | AB        |                 |                  |          |       |          |                            |
|                       | AC        |                 |                  |          |       |          |                            |
|                       | AD        |                 |                  |          |       |          |                            |
|                       | AE        |                 |                  |          |       |          |                            |
|                       | AF        |                 |                  |          |       |          |                            |
|                       | AG        |                 |                  |          |       |          |                            |
|                       | AH        |                 |                  |          |       |          |                            |

| Foreign Patent Documents or Published Foreign Patent Applications |           |                 |                  |                          |       |          |                       |    |
|---|-----------|-----------------|------------------|--------------------------|-------|----------|-----------------------|----|
| Examiner Initial  | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation           |    |
|   |           |                 |                  |                          |       |          | Yes                   | No |
| /TR/  | AI        | JP 8-248420     | 9/27/1996        | Japan                    | G02F  | 1/1335   | English Abstract Only |    |
|   | AJ        |                 |                  |                          |       |          |                       |    |
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|   | AL        |                 |                  |                          |       |          |                       |    |
|   | AM        |                 |                  |                          |       |          |                       |    |

| Other Documents (include Author, Title, Date, and Place of Publication) |           |   |
|---|-----------|---|
| Examiner Initial  | Desig. ID | Document  |
| /TR/  | AN        | International Search Report from International Application No. PCT/DE99/04125 by Examiner W. Manntz dated May 24, 2000. |
|   | AO        |   |
|   | AP        |   |
|   | AQ        |   |

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|--|-------------------------------|
| Examiner Signature<br>/Timothy Rude/   | Date Considered<br>08/18/2007 |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                               |

Substitute Disclosure Form (PTO-1449)

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| 37 CFR 1.501<br><b>INFORMATION DISCLOSURE STATEMENT<br/> IN A PATENT</b><br>(use several sheets if necessary)   |    |   |            |                 | Docket No.<br>P01,212          |            | Serial No.                 |    |
|---|----|---|------------|-----------------|--------------------------------|------------|----------------------------|----|
|   |    |   |            |                 | Applicant<br>SCHELLHORN et al. |            |                            |    |
|   |    |   |            |                 | Filing Date<br>HEREWITH        |            | Group Art Unit             |    |
|   |    |   |            |                 |                                |            |                            |    |
| <b>U.S. PATENT DOCUMENTS</b>  |    |   |            |                 |                                |            |                            |    |
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|   | AC |   |            |                 |                                |            |                            |    |
|   | AD |   |            |                 |                                |            |                            |    |
|   | AE |   |            |                 |                                |            |                            |    |
|   | AF |   |            |                 |                                |            |                            |    |
|   | AG |   |            |                 |                                |            |                            |    |
|   | AH |   |            |                 |                                |            |                            |    |
|   | AI |   |            |                 |                                |            |                            |    |
|   | AJ |   |            |                 |                                |            |                            |    |
|   | AK |   |            |                 |                                |            |                            |    |
| <b>FOREIGN PATENT DOCUMENTS</b>   |    |   |            |                 |                                |            |                            |    |
|   |    | Document Number   | Date       | Country         | Class                          | Subclass   | Translation                |    |
|   |    |   |            |                 |                                |            | Yes                        | No |
| /TR/  | AL | 0 500 980 A1  | 09/02/1992 | European        |                                |            |                            |    |
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|   | AP |   |            |                 |                                |            |                            |    |
|   | AQ |   |            |                 |                                |            |                            |    |
| <b>OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>   |    |   |            |                 |                                |            |                            |    |
| /TR/  | AR | Patent Abstracts of Japan; Hiramoto Masami, 08248420 09/27/1996, Liquid Crystal Display Device. |            |                 |                                |            |                            |    |
|   | AS |   |            |                 |                                |            |                            |    |
|   | AT |   |            |                 |                                |            |                            |    |
|   | AU |   |            |                 |                                |            |                            |    |
|   | AV |   |            |                 |                                |            |                            |    |
|   | AW |   |            |                 |                                |            |                            |    |
|   | AX |   |            |                 |                                |            |                            |    |
|   | AY |   |            |                 |                                |            |                            |    |
|   | AZ |   |            |                 |                                |            |                            |    |
| Examiner  |    | /Timothy Rude/  |            | Date Considered |                                | 08/18/2007 |                            |    |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |    |   |            |                 |                                |            |                            |    |